

**FACTS**

**PRIVACY NOTICE: WHAT DOES RNP ADVISORY SERVICES, INC. ("RNP") DO WITH YOUR PERSONAL INFORMATION?**

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>■ Social Security Number, date of birth, personal identification information and Income</li> <li>■ Account Balance and Transaction History</li> </ul>
<b>How?</b>	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons RNP Advisory Services, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does RNP share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We Don't Share
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	No	We Don't Share
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	We Don't Share
<b>For non-affiliates to market to you</b>	No	We Don't Share

What we do	
<b>How does RNP Advisory Services, Inc. protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does RNP Advisory Services, Inc. collect my personal information?</b>	We collect your personal information, for example, when you: <ul style="list-style-type: none"> <li>■ Open an Account or Seek Financial Advice</li> <li>■ Make a Wire Transfer or Pay us by Check</li> </ul>
<b>Why can't I limit all sharing?</b>	Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>■ sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>■ affiliates from using your information to market to you</li> <li>■ sharing for non-affiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>

<b>Questions?</b>	Call <b>800-700-5255</b> or <b>www.RNPadvisory.com</b>
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Definitions	
<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>■ <i>RNP Advisory Services, Inc. has no affiliates.</i></li> </ul>
<b>Non-affiliates</b>	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>■ <i>RNP Advisory Services, Inc. does not share with non-affiliates so they can market to you.</i></li> </ul>
<b>Joint Marketing</b>	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> <li>■ <i>RNP Advisory Services, Inc. does not jointly market.</i></li> </ul>
<p><b>Information for Vermont, California and Nevada Customers</b></p> <p><b>In response to a Vermont regulation</b>, if we disclose personal information about you to non-affiliated third parties with whom we have joint marketing agreements, we will only disclose your name, address, other contact information, and information about our transactions or experiences with you.</p> <p><b>In response to a California law</b>, we automatically treat accounts with California billing addresses as if you do not want to disclose personal information about you to non-affiliated third parties except as permitted by the applicable California law. We will also limit the sharing of personal information about you with our affiliates to comply with all California privacy laws that apply to us.</p> <p><b>Nevada law requires</b> us to disclose that you may request to be placed on our “do not call” list at any time by calling 1-800-700-5255. To obtain further information, contact the Bureau of Consumer Protection, Office of the Nevada Attorney General at 555 E. Washington Ave., Suite 3900, Las Vegas, NV 88101; phone 1-702-486-3132; email <a href="mailto:BCPINFORM@ag.state.nv.us">BCPINFORM@ag.state.nv.us</a>.</p>	

## Accessing and Revising Your Personal Information

We strive to keep our client information complete and accurate. We encourage you to review information in your account statements and notify us if you believe any information should be corrected or updated.

The practices and policies contained in this Privacy Notice replace all previous notices or statements with respect to the same subject. We reserve the right to change this Privacy Notice and any of the policies and procedures listed herein at any time. As part of our normal business practices, we distribute this notice annually or when significant changes are made to it.

If you have questions about your personal information or the Privacy Notice, please contact our office at (800) 700-5255.

## Code of Ethics

RNP Advisory Services, Inc. is a registered investment advisor with the Securities and Exchange Commission (“SEC”). The SEC requires us to maintain records and adopt policies and procedures reasonably necessary to prevent violations of the Investment Advisor’s Act of 1940 and other applicable federal rules and regulations. The Code of Ethics is intended to assist our firm in maintaining such records and disseminate to our Advisors our policies and procedures and to state our broader policies regarding RNP’s commitment to clients. If you would like to receive a copy of our Code of ethics, please contact our office at (800) 700-5255.

